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| (if appropriate) | | Application Number 09/509,032 | | (if appropriate) | | Date |
| | | | | | | March 21, 2000 |
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| Typed or Printed Name | Leonard C. Mitchard | | | | | Applicant or Patentee |
| Signature | June 5, 2003 | | | | | Assignee of record of the entire interest. Statement under 37 C.F.R. § |
| Date | | | | | | 3.73(b) is enclosed. (Form PTO/SB/96) |
| Address of signer: 1100 North Glebe Road, 8th Floor Arlington, VA 22202 | | | | | \boxtimes | Attorney or Agent of record |
| | | | | | | 29,009 (Reg. No.) |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.* | | | | | | |
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UNITED STATES PATENT AND TRADEMARK OFFICE In re Patent Application Atty Dkt. 3525-71 C# M# JUN 0 5 2003 INGHARDT et al Group Art Unit: 1626 Serial No. 09/509.032 Examiner: Saeed, K.A. Filed: March 21, 2000 Date: June 5, 2003 NEW AMIDINO DERIVATIVES AND THEIR USE AS THROMBIN INHIBITORS Title: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: RESPONSE/AMENDMENT/LETTER This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon. □ Correspondence Address Indication Form Attached. Fees are attached as calculated below: Total effective claims after amendment 0 minus highest number previously paid for 20 (at least 20) =\$ 18.00 X \$ 0.00 Independent claims after amendment minus highest number previously paid for 3 (at least 3) =х \$ 84.00 \$ 0.00 If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper) \$ 0.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$410.00/2 months; \$930.00/3 months) \$ 0.00 Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00 First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$750.00) \$ 0.00 ☐ Please enter the previously unentered . filed ☐ Submission attached Subtotal \$ 0.00 If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00 Applicant claims "small entity" status. Statement filed herewith Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00 Assignment Recording Fee (\$40.00) \$ 0.00 Other: 0.00 TOTAL FEE ENCLOSED \$ 0.00 The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. NIXON & VANDERHYE P.C. By Atty: Leonard C. Mitchard, Reg. No. 29,009

1100 North Glebe Road, 8th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

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In re Patent Application of

INGHARDT et al

Atty. Ref.: 3525-71

Serial No. 09/509,032

Group: 1626

Filed: March 21, 2000

Examiner: Saeed, K.A.

For: NEW AMIDINO DERIVATIVES AND THEIR USE AS THROMBIN INHIBITORS

June 5, 2003

Assistant Commissioner for Patents Washington, DC 20231

REQUEST FOR RECONSIDERATION

Sir:

This is in response to the Official Action mailed March 10, 2003. Reconsideration is respectfully requested.

In the first Official Action in this case, mailed February 2, 2003, the Examiner made a restriction requirement which identified Groups I-VIII, and required the applicants to elect one of those groups. In response, the applicants elected Group IV, and traversed the requirement.

In a second action, the Examiner set forth at page 3 of the action a "generic concept of the elected subject matter". However, this "generic concept" was significantly narrower in scope than the elected Group IV. Following telephone conferences with the Examiner regarding this "generic concept", the Examiner required the applicants, by telephone, to elect a single disclosed species. In response, the

applicants elected the compound of Example 1, and requested the Examiner to vacate the second action. As reflected in the Interview Summary Record dated November 28, 2002, the Examiner acknowledged the election of Example 1 and agreed that the action of Paper No. 10 would be vacated.

In a third action, the Examiner vacated the second action and again acknowledged the applicants' election of the species of Example 1. However, instead of following the established USPTO examining practice following an election of species of searching to ascertain if prior art exists with respect to the elected species and, if none is found, searching for other species until art is developed and, if no art is ultimately found, allowing the genus, the Examiner defined a further "generic concept" which is narrower than that set forth in the second (vacated) action.

The examining procedure adopted in this case is believed to be improper, in that it is contrary to the established examination practice with respect to election of species. Thus, when an applicant has elected a species in response to an election of species requirement, the Examiner proceeds with searching the elected species and, if no art is found, the search is extended to other subject matter until art is ultimately developed, and, if no art is ultimately developed, the genus is allowed covering a reasonable number of species (MPEP 809.02). This procedure has clearly not been followed in this case. Rather, in response to the applicants' election of the species of Example 1, the Examiner has defined another "group" which is much narrower than the elected Group IV, without conducting any searching of the elected species. The "generic concept" proposed by the Examiner excludes, for example, compounds comprising a heteroatom in the right-hand-ring of the structural fragment IIa (see, for example, Examples 4, 6, 7,

8 and 9). Ample description is provided in the specification as filed in relation to how compounds with heteroatoms in the right-hand-ring may be obtained (see the general description of synthesis provided at pages 21 to 42). In this respect, it is submitted that the applicants are entitled to obtain protection by way of this case (bearing in mind the previous restriction requirement and election of Group IV) to compounds in which X_1 represents not only C_{2-4} alkylene, but also C_{2-3} alkylene interrupted by Z_1 , Z_2 , and Z_3 and Z_4 alkylene, but also Z_4 alkylene interrupted by Z_1 , Z_2 , and Z_3 .

It is also noted that this application is an ex-PCT application. The PCT states at Article 27 that:

"No national law shall require compliance with requirements relating to the form or contents of the international application different from or additional to those which are provided for in this Treaty and the Regulations".

An International Search Report was drawn up by the Swedish Patent Office as International Searching Authority, in which the entire subject matter as **originally** claimed across the entire claim scope was searched. It is not understood why the applicants are now required to restrict their claimed invention to a significantly narrower "generic concept" as defined by the Examiner. The Examiner has simply proposed a very narrow scope of claim, without citation to any authority whatsoever in support of this action.

Based on the above it is believed that the currently outstanding action should be vacated and that the Examiner should undertake a search of the elected species of Example 1, in accordance with established practice. Such action is respectfully requested.

INGHARDT et al Serial No. 09/509,032

An IDS was filed on October 31, 2001. The Examiner is requested to acknowledge receipt of that IDS in the next paper to issue in this case.

Favorable action on this application is awaited.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

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